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DOCUMENT ADMINISTRATION

VERSION NUMBER	APPROVAL PROCESS	DATE
1.0	MD: Nina Schwalbe, Policy and Performance	
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	Approved by: GAVI Alliance Board	18 November 2009
		Effective from: 01 January 2011
		Review: Annually for inflation adjustment and for the newly released GNI per capita data

		
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## Country Eligibility Policy

### 1. Purpose

- 1.1. The purpose of this policy is to set out GAVI's eligibility criteria which determine the list of countries eligible to apply for GAVI support.

### 2. Scope


- 2.1. This policy covers basic eligibility and programme filters for accessing new vaccine support.
- 2.2. This policy does not cover criteria for prioritisation and resource allocation, or requirements for accessing GAVI's cash-based programmes, all of which are covered by other GAVI policies and guidelines.

### 3. Principles

- 3.1. GAVI's eligibility criteria focus GAVI support on the poorest countries.
- 3.2. Programme filters for accessing new vaccine support are designed to: (a) ensure minimum performance standards; (b) encourage high vaccine coverage; and (c) increase public health benefit per dollar invested.

### 4. Definitions

- 4.1. "**GNI per capita atlas method**": Gross national income (GNI) is the sum of value added by all resident producers plus any product taxes (less subsidies) not included in the valuation of output plus net receipts of primary income (compensation of employees and property income) from abroad. GNI per capita is GNI divided by mid-year population. GNI per capita in US dollars is converted using the World Bank Atlas method which smoothes exchange rate fluctuations by using a three year moving average, price-adjusted conversion factor.
- 4.2. "**DTP3 coverage estimates**": Percentage of infants that received three doses of diphtheria, tetanus and pertussis (whooping cough) vaccine.
- 4.3. "**Eligibility threshold**": Criteria to determine which of the low and lower middle income countries are considered 'GAVI eligible', and which are either 'Graduating', 'Graduated' and/or ineligible.
- 4.4. "**GAVI eligible country**": A country whose GNI per capita is equal to or below the 'eligibility threshold'.
- 4.5. "**Graduating country**": A country whose GNI per capita is above the applicable eligibility threshold and that can no longer apply for new vaccine or cash-based programme support, but continues to receive existing Board-approved multi-year commitments for vaccines and/or cash-based programmes from GAVI.

		
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- 4.6. “**Graduated country**”: A country whose GNI per capita is above the eligibility threshold and that can no longer apply for new vaccine or cash-based programme support from GAVI, and whose GAVI Board-approved multi-year commitments for vaccines and/or cash-based programmes have ended.
- 4.7. “**Ineligible country**”: A country that has never been eligible or a graduated country that cannot apply for new vaccine or cash-based programme support from GAVI.
- 4.8. “**Programme filters**”: Criteria set to determine which GAVI-eligible countries can apply for a particular type of support.

## 5. Eligibility threshold

- 5.1. Countries with GNI per capita data of  $\leq$  \$1,500 are eligible to apply for vaccine and/or cash-based programme support.
- 5.2. Eligibility will not be considered for poorer states/provinces within higher income countries (i.e. no sub-national support for countries with GNI per capita above threshold).

## 6. Programme filter


- 6.1. Countries with DTP3  $\geq$  70% coverage are allowed to apply for new vaccine introduction support.
- 6.2. In the case of Japanese encephalitis (JE), Meningitis A and Yellow fever vaccines, all GAVI-eligible countries irrespective of DTP3 coverage can apply for support since no DTP3 coverage filters are imposed on accessing support for these vaccines.
- 6.3. Cash based programmes are not subject to a DTP3 programme filter.

## 7. Timeline for implementation

- 7.1. Implementation of this policy will begin in January 2011 (based on 2009 World Bank GNI per capita data).
- 7.2. The GNI per capita eligibility threshold will be adjusted annually for inflation. The lists of eligible, graduating and ineligible countries will also be adjusted annually based on the latest World Bank GNI per capita data, published each year in July/August. The adjustments to both the threshold and lists of countries will go into effect the following January and remain valid for a full calendar year.

## 8. Primary data sources

- GNI per capita (Atlas method) from World Bank classifications
- DTP3 coverage from WHO/UNICEF estimates
- eligibility threshold adjustment for annual inflation using World Bank deflators

		
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**9. Effective date and review of policy**

- 9.1. This policy comes into effect as of 1 January 2011.
- 9.2. This policy will be reviewed and updated as and when required and annually for inflation adjustments. Any amendments to this policy are subject to GAVI Alliance Board approval.